

2-AVOIDANCE

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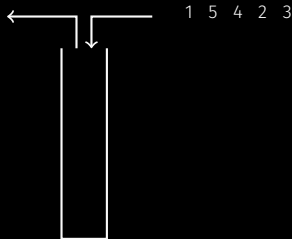
PP2022

Definition (Pop stack)

A pop stack operates as follows: either

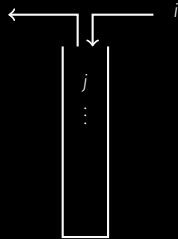
- push the next input token onto the stack
- pop the *entire contents* of the stack

Eg:



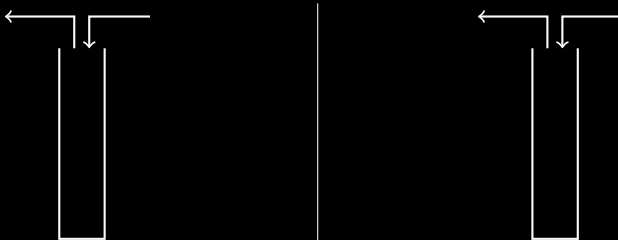
DETERMINISTIC POP STACK

A *deterministic* pop stack pushes if $i < j$, else pops entire contents of the stack:



MULTI-PASS POP STACKS: AKA K-POP STACKS

A permutation is *k-pass pop stack sortable* if it can be sorted using k passes through a deterministic pop stack.



Eg: 2-pass?

• 41352

• 3241

EXERCISE

Eg: 1-pass?

• 123

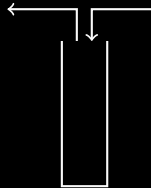
• 132

• 213

• 231

• 312

• 321



Theorem (Avis, Newborn 1981)

A permutation is 1-pass pop stack sortable if and only if it avoids 231, 312.

2-pass?

Recall: we showed $p = 41352$ is 2-pass pop stack sortable, and $q = 3241$ is not.

But p contains q ?!

NOTATION

A *barred permutation* is a permutation where some entries have bars on them.

Eg: $4\bar{1}32$. Let $\text{unbar}(4\bar{1}32) = 4132$ and $\text{removebar}(4\bar{1}32) = 432 \sim 321$.

A permutation p is said to *avoid* a barred pattern β if

- any subpermutation q of p with $q \sim \text{removebar}(\beta)$ is contained in a subpermutation r of p with $r \sim \text{unbar}(\beta)$.

Eg: 15243 avoids $4\bar{1}32$

Eg: 52413 does not avoid $4\bar{1}32$

Theorem (Pudwell, Smith¹)

A permutation is 2-pass pop stack sortable if and only if it avoids each of the following:

2341 3412 3421 4123 4231 4312 $4\bar{1}352$ $413\bar{5}2$

Claesson and Guðmundsson² : is there a

“useful permutation pattern characterization of the k -pop stack-sortable permutations” [for $k \geq 3$]?

¹Pudwell and Smith. Two-stack-sorting with pop stacks. Australasian Journal of Combinatorics, 2019.

²Claesson and Guðmundsson, Enumerating permutationssortable by k passes through a pop-stack. Sém. Lothar. Combin., 2018.



If we were to characterise 3-pop stack sortable permutations as those avoiding some list containing $4\bar{6}3\bar{1}572$ and $4\bar{7}3\bar{1}562$, then we would be mistaken:

4731562 does not avoid this list since it fails to avoid $4\bar{6}3\bar{1}572$.

So we made a new definition.

Let $F, G \subseteq S^\infty$. Let \sim denote “order-isomorphic”.

Definition

A permutation p is said to *2-avoid* (F, G) if

- if p_1 is a subpermutation of p with $p_1 \sim f \in F$,
then p_1 is a subpermutation of p_2 of p and $p_2 \sim g \in G$.

We say that p_1 can be “*saved*” by some element of G .

Eg: $p = 4731562$ 2-avoids $(\{32451\}, \{4631572, 4731562\})$.

Theorem (Pudwell and Smith)

The set of 2-pass pop stack sortable permutations is equal to

$$AV_B(\{2341, 3412, 3421, 4123, 4231, 4312, 3241, 4\bar{1}352, 413\bar{5}2\})$$

becomes

Theorem (Pudwell and Smith)

The set of 2-pass pop stack sortable permutations is equal to

$$AV_2(\{2341, 3412, 3421, 4123, 4231, 4312, 3241, 4132\}, \{41352\})$$

Theorem (E, Goh 2021)

For each $k \in \mathbb{N}$, there exist **finite** sets F_k, G_k such that a permutation is k -pass pop stack sortable if and only if it 2-avoids (F_k, G_k) .

$F_3 = \{32451, \dots\}$, $G_3 = \{4631572, 4731562, \dots\}$. (Actually the sets we construct are quite large)

We also give an algorithm to construct the sets F_k, G_k from F_{k-1}, G_{k-1} .

See

<https://www.combinatorics.org/ojs/index.php/eljc/article/view/v28i1p54/pdf>

$F = \{1\}, G = \{12, 21\}$. Then $\text{Av}_2(F, G) = S^\infty \setminus \{1\}$.

This shows that the growth of (proper) 2-avoidance sets can be factorial (in contrast to ³),

Question: what growth rates are possible for 2-avoidance?

- super-exponential but sub-factorial?
- super-polynomial but sub-exponential? (in contrast to ⁴)

³ Marcus and Tardos, Excluded permutation matrices and the Stanley-Wilf conjecture, J. Combin. Theory Ser. A 2004

⁴ Kaiser and Klazar, On growth rates of closed permutation classes, EJC 2003

THANKS