

Principal Investigator  
Date  
Proposal/GL #  
Agency  
Deadline

### **Valparaiso University Disclosure Statement Form**

The purpose of this Disclosure Statement Form (DSF) is to disclose any "significant financial interests" or other relationships that create or appear to create a conflict of interest in relation to your sponsored project. The term "significant financial interest" means anything of monetary value, including, but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interest (e.g., stocks, stock options or other ownership interests); and intellectual property rights (e.g., patents, copyrights and royalties from such rights). Also, by signing this DSF, you are acknowledging your receipt and understanding of the Valparaiso University's Policy on Conflicts of Interest in Sponsored Projects.

A DSF is required before any proposals can be submitted. Further, a new DSF is required once a project has been awarded, either on an annual basis or within 30 days of when a new significant financial conflict of interest arises.

1. Do you have a managerial role in or opportunity for personal gain from a company in your area of research?
2. Have you received payments from or equity interests in a Publicly Traded Entity, where the total amount and source of payments received in the preceding twelve months from a publicly traded entity and the value of any equity interest held in the entity on the date of disclosure that, when aggregated, exceeds \$5,000?
3. Have you received payments from a Non-Publically Traded Entity or equity interest in a Non-Publicly Traded Entity, where the total amount and source of payments received in the preceding twelve months from an entity that is not publicly traded that, when aggregated, exceeds \$5,000?
4. During the past 12 months, did you receive payment (either as an advance or a reimbursement) for travel (transportation, lodging or meals) from either a for-profit organization or a non-university, or were the costs of travel paid directly for you by any such organization? (Excluded from non-university organizations are federal, state or local government agencies.)
5. During the past 12 months, have you received any intellectual property rights, regardless of value, upon receipt of income related to such rights and interests?
6. During the past 12 months, have you received a single gift that exceeds \$250 in value, or multiple gifts from a single entity that in the aggregate exceed \$250 in value, other than gifts from a covered family member?

7. During the past 12 months, have you held a fiduciary position in a for-profit or nonprofit entity (including a position as a member of the board of directors, an officer, or other executive or management position, for you received any form of remuneration or reimbursement for expenses)?
  
8. Do you have a consulting or other financial relationship with a non-governmental external sponsor or donor of your research?
  
9. Do you, your spouse, or dependent children have any other relationship, commitments or activities that might present or appear to present a significant conflict of interest with your Valparaiso University research activities? Examples of relationships may include those with financial interests or uncompensated activities.

If you answered “yes” to any questions above, please include an explanation below or attach additional pages if necessary.

I acknowledge and certify my responsibility to disclose any reportable financial conflicts of interest obtained during the project period and that this is a complete disclosure of my related financial conflicts of interest related to the proposed project.

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Signature

Date

Please note, an employee who knowingly fails to disclose a significant financial interest or otherwise provides false information on this form could be subject to sanctions up to and including termination.